Automatic Construction of Technology Function Matrix

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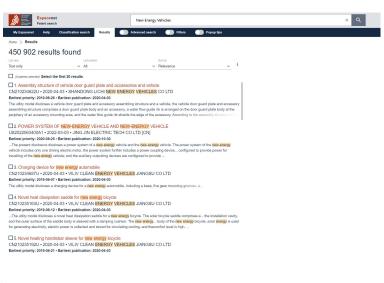
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Background



■ **Era of Big Data:** the number of patent documents is increasing explosively. It is becoming more and more difficult to accurately grasp the development trend of science and technology.

■ **Technology Function Matrix**(TFM): It is an important basis for patent analysis, such as high-value technology discovery and potential technology function prediction.

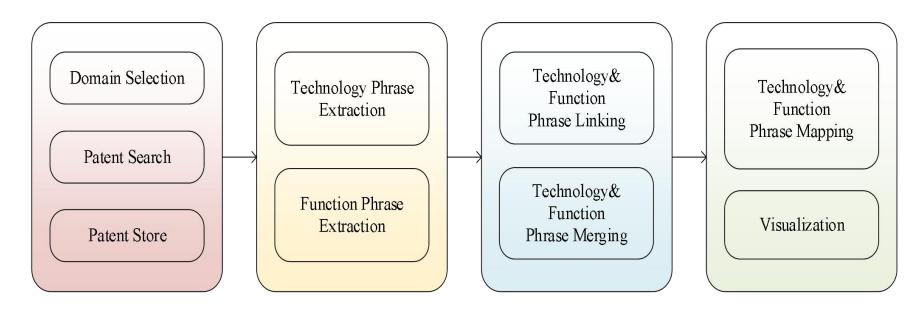




Contribution



Construction process of Technology Function Matrix



Contribution

Technology Framework

Semi-Supervised Method

TFM Construction System

<u>Methodology</u>



Technology Phrase

Patent



Technology phrase extraction based on dependency analysis and pretrained language model

本发明提供了一种卷绕式超级电容器制备方法,包括...极大地提高了卷绕式超级电容器电极的电导率...(The invention provides a preparation method of a winding supercapacitor, which comprises ... The conductivity of the wound supercapacitor electrode is greatly improved...)

Function phrase extraction based on dependency analysis and template

电极材料 (electrode material) 碳纳米管薄膜 (carbon nanotube film) 卷绕式超级电容器 (wound

supercapacitor)

降低等效电阻 (reduce equivalent resistance) 提高电导率 (increase conductivity) 提高功率密度 (increase power density)

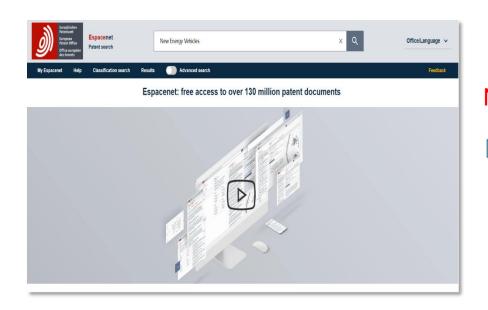
Function Phrase

Result

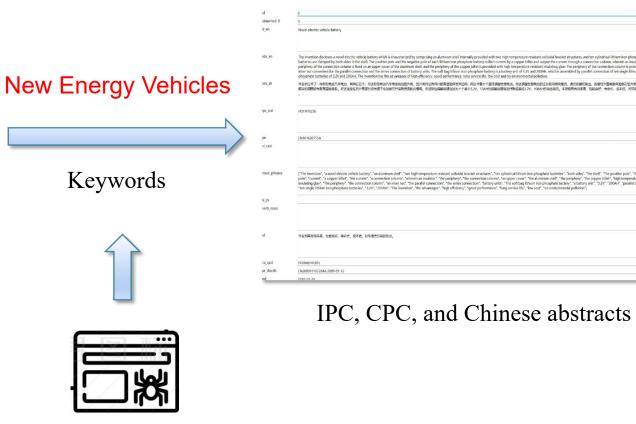
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<u>Dataset</u>





Espacenet(Open Source)



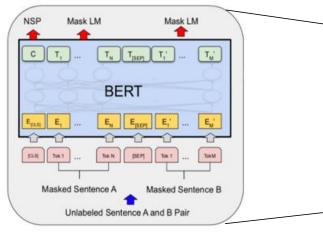
Web Crawler

https://worldwide.espacenet.com/

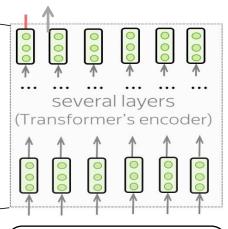
Function Phrase Extraction



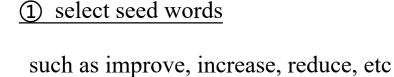
function sentence: 0.9 non function sentence: 0.1



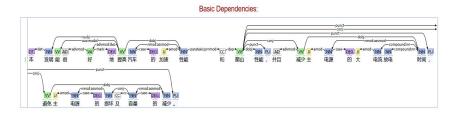
Bert Model



本发明能很好地提高汽车的加速性能和爬山性能...(The invention can well improve the acceleration performance and mountain (climbing performance......)



② semantic dependency parser (spaCy)



- 3 construct template
- <u>(4)</u> calculate F1 score and repeat above steps

STEP1
Function sentence recognition

STEP2 Function phrase extraction

Technology Phrase Extraction



Semantic Dependency Analysis

core word: domain vocabulary

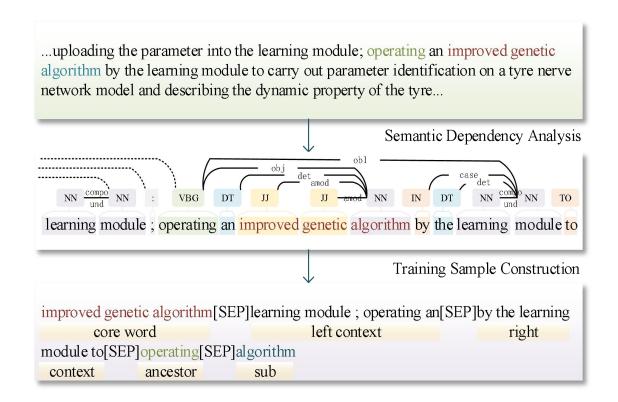
context: left and right five words

ancestor: syntactic parent of the core word

sub-word: core word that removes modifier.

> Training set generation

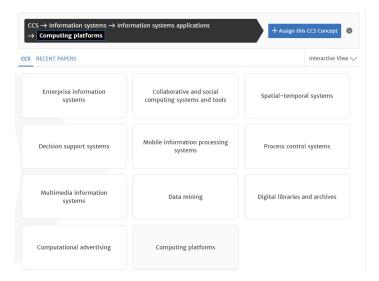
 $core\ word + context + ancestor + sub-word$



Technology&Function Phrase Merging



> Directory tree crawling.



- > Suffix tree pattern recognition.
- —suffix tree string matching algorithm
 - "author LDA" and "LDA"

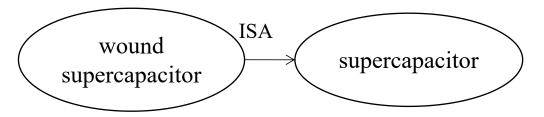
- Abbreviation recognition.
 - —Maximum Entropy Model

$$p(y|x) = \mathop{argmax}_{p} \sum_{x,y} -\tilde{p}(x)p(y|x)\log p(y|x)$$

—Example

"Support Vector Machine" and "SVM"

Domain triplet recognition.



Experiment



- > Evaluation Data
- 1,000 function sentences;
- 532 function phrases;
- 907 technology phrases

Table 2: Experimental results of function phrase extraction.

| Algorithm | Precision | Recall | F1 score |
|----------------|-----------|--------|----------|
| SAO | 20.14 | 26.16 | 22.76 |
| SDP + Template | 56.83 | 48.59 | 52.39 |

Table 1: Comparative experimental results of function sentence recognition.

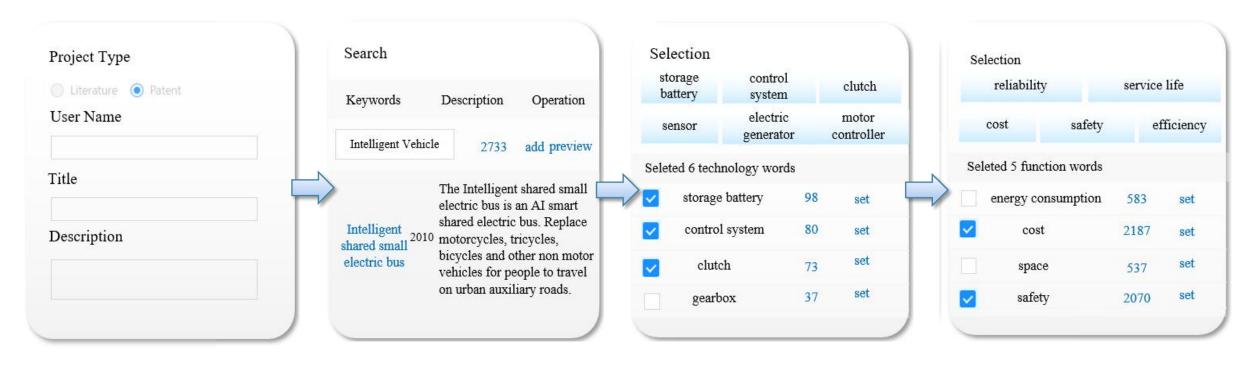
| Algorithm | Accuracy | |
|--------------|----------|--|
| Naive Bayes | 65.86 | |
| Word2Vec+MLP | 65.67 | |
| Bert | 89.13 | |

Table 3: Technology Phrase Extraction Measurement

| Algorithm | Precision | Recall | F1 score |
|------------------|-----------|--------|----------|
| Span-BERT | 35.55 | 81.25 | 49.46 |
| + Ancestor | 53.81 | 52.14 | 51.61 |
| + Sub | 46.94 | 63.50 | 52.96 |
| + Ancestor + Sub | 47.90 | 60.52 | 53.48 |

System Overview





STEP1
Project Creation

STEP2
Patent Retrieval

STEP3
Technology Selection

STEP4
Function Selection

System Overview



功效矩阵-专利



STEP5 Visualization



Thanks